

J1050 U.S. PTO  
09/954692  
09/12/01

## Washington, D.C. 20231

Submitted herewith for filing is the  
continuation application of

**Inventor(s)/Applicant Identifier: Phillip A. Patten et al.**

For: **METHODS AND COMPOSITIONS FOR POLYPEPTIDE ENGINEERING**

- [X] For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can be relied upon when a portion has been inadvertently omitted from the submitted application parts.
- [X] This application claims priority from each of the following Application Nos./filing dates: USSN 08/769,062, filed December 18, 1996, USSN 08/621,859, filed March 25, 1996, and USSN 08/564,955, filed November 30, 1995, the disclosures of which are incorporated by reference for all purposes.
- [X] Please amend this application by DELETING the first paragraph on page 1 at lines 7-19 without prejudice to subsequent renewal and REPLACING the deleted paragraph with the following new paragraph: "The present application is a continuation of and claims priority from USSN 08/769,062, filed December 18, 1996, which is a continuation-in-part of each of the following prior applications, each of which the present application claims priority to and the benefit of: USSN 08/621,859, filed March 25, 1996 (now issued as U.S. Patent No. 6,117,679) and USSN 08/564,955, filed November 30, 1995 (now issued as U.S. Patent No. 5,811,238). Each of these earlier applications is incorporated by reference for all purposes."

**Enclosed are:**

- ☒ 134 pages of the description (including specification, claims and abstract)
- ☒ 8 sheet(s) of [x] formal [ ] informal drawing(s)
- ☒ 1 abstract
- ☒ 273 number of claims
- ☒ An assignment of the invention to Maxygen, Inc. (from parent)
- ☒ A [X] signed [ ] unsigned Declaration (from parent)
- ☒ Preliminary amendment (from parent)

- ☒ Appointment of Associate Attorney Form
- ☒ Cover sheet
- ☒ Information Disclosure Statements under 37 CFR 1.97
- ☒ Patent Application Filing Acknowledgement postcard
- ☒ 1 extra copy of this sheet is enclosed
- ☒ Letter to Draftsperson
- ☒ Application data entry sheet
- ☒ Sequence Listing (from parent)

**Prior to calculating the filing fee, cancel claims 2-273 without prejudice to subsequent renewal.**

**Thus, only claim 1 (one) is pending.**

	(Col. 1)	(Col. 2)
FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	- 20	=
INDEP. CLAIMS	- 3	= *0
[ ] MULTIPLE DEPENDENT CLAIM PRESENTED		

SMALL ENTITY			SMALL ENTITY	
RATE	FEE	OR	RATE	FEE
	\$355.00	OR		\$710.00
x \$ 9.00 =		OR	x \$18.00 =	\$
x \$40.00 =		OR	x \$80.00 =	\$0.00
+ \$135.00 =		OR	+ \$270.00 =	
TOTAL		OR	TOTAL	\$ 710.00

**Please charge Deposit Account No. 50-0893 as follows:**

- [X] Filing fee. \$ 710.00
- [X] Any additional fees associated with this paper or during the pendency of this application.
- [ ] The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

Respectfully submitted,

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PATENT TRADEMARK OFFICE

Attorney Docket No. 02-020522US

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Date of Deposit: September 12, 2001

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above, addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington D.C. 20231

By:

Tracie Brooks

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LAW OFFICES OF JONATHAN ALAN QUINE

By *Tracie Brooks*  
Tracie Brooks

Attorney Docket No. 02-020522US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Phillip A. Patten et al.

Application No.: Not yet known

Filed: September 12, 2001

For: **METHODS AND COMPOSITIONS FOR  
POLYPEPTIDE ENGINEERING**

Examiner: Unassigned

Art Unit: Unassigned

REQUEST TO USE COMPUTER  
READABLE FORM OF SEQUENCE  
LISTING FROM ANOTHER  
APPLICATION PURSUANT TO 37 CFR §  
1.821(e)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The computer readable form in this application, is identical with that filed in Application Number 08/769,062 filed December 18, 1996. In accordance with 37 CFR 1.821(e), please use the last-filed computer readable form for the instant application. It is understood that the Patent and Trademark Office will make the necessary change in application number and filing date for the computer readable form that will be used for the instant application. A paper copy of the Sequence Listing from USSN 08/769,062 is included.

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